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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/714,243
	Filing Date	November 13, 2003
	First Named Inventor	Bomy Chen
	Art	2818
	Examiner	Not yet assigned
Total Number of Pages in This Submission	Attorney Docket Number	2102397-992740

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Postcard; Cited Article
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual	Ronald L. Yin Gray Cary Ware & Freidenrich
Signature	<i>Ronald L. Yin</i>
Date	July 22, 2004

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Typed or printed	Susan Pinguet		
Signature	<i>Susan Pinguet</i>	Date	July 22, 2004

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INFORMATION DISCLOSURE STATEMENT	Applicants:	Chen, et al.
	Application No.	10/714,243
	Filed:	November 13, 2003
	For:	A Stacked Gate Memory Cell With Erase To Gate, Array, And Method Of Manufacturing
	Group Art Unit:	2818
	Examiner:	Not yet assigned
	Attorney Docket No.:	2102397-992740

Commissioner of Patents and Trademarks P.O. Box 1450 Alexandria, VA 22313-1450		
Dear Sir:		
In accordance with the provisions of 37 C.F.R. § 1.56(a) and 37 C.F.R. § 1.97, Applicant(s) hereby make of record the references listed on the accompanying Form PTO-1449 for consideration by the Examiner in connection with the examination of the above-identified patent application.		
This Information Disclosure Statement:		
(a)	<input type="checkbox"/>	accompanies a new patent application submitted herewith.
(b)	<input checked="" type="checkbox"/>	is filed within three (3) months of the Filing Date or before the mailing date of a First Office Action on the merits; OR
(c)	<input type="checkbox"/>	after the period defined in (b) but before the mailing date of a Final Rejection or Notice of Allowance, OR
(d)	<input type="checkbox"/>	is filed after the first Office Action and more than three months after the application's filing date or PCT national stage date of entry filing but, as far as is known to the undersigned prior to the mailing date of either a final rejection or a notice of allowance, and is accompanied by either the fee (\$180) set forth in 37 CFR § 1.17(p) or a certification as specified in 37 CFR § 1.97(e), as checked below OR
(e)	<input type="checkbox"/>	is filed after the mailing date of either a final rejection or a notice of allowance, and the issue fee has not been paid, and is accompanied by the requisite petition fee (\$130) set forth in 37 CFR § 1.17(l)(1) and a certification as specified in 37 CFR § 1.97(e), as checked below. This document is to be considered as a petition requesting consideration of the information disclosure statement.
As required under § 1.97(e), Applicants, through the undersigned, hereby state either that [check the appropriate space]:		
(f)	<input type="checkbox"/>	Each item of information contained in the Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing date of the Information Disclosure Statement; or

(g)	<input type="checkbox"/>	No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.
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It is respectfully requested that each of the references shown on the attached Form PTO-1449 be made of record in this application. A copy of the cited reference is enclosed.

The Commissioner is authorized to charge any deficiencies and credit any overpayment of fees to our Deposit Account No. 07-1896

Respectfully submitted,

Date: July 22, 2004

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ATTORNEY DOCKET NO. 2102397-992740

Form PTO-1449 (modified 2/91) INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)	U.S. DEPT. OF COMMERCE Patent and Trademark Office	Attorney Docket Number: 2102397-992740	Serial Number: 10/714,243
	Applicants: Chen, et al.		
	Filing date: November 13, 2003	Group art unit: 2818	

U.S. PATENT DOCUMENTS

Examiner Initial	Patent No. / Application No.	Date	Name	Class	Sub-class	Filing date if appropriate

FOREIGN PATENT DOCUMENTS

	Document number	Date	Country	Class	Sub-class	Translation (Abstract Only)	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	Hayashi et al., "A Self-Aligned Split-Gate Flash EEPROM Cell With 3-D Pillar Structure," pp. 87-88, 1999 Symposium on VLSI Technology Digest Of Technical Papers, Center for Integrated Systems, Stanford University, Stanford, CA 94305, USA.

Examiner:	Date Considered:
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP '609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.	